

IMPORTANT NOTES:

This Redemption Form is only applicable for unitholders who have directly invested with First Sentier Investors (Singapore).

Please do not use this Form if you are a “Distributor Client” i.e. you have invested into our funds through a distributor (e.g. DBS Bank Ltd, United Overseas Bank Ltd, Standard Chartered Bank, etc) using Cash/CPF/SRS monies, **please contact your distributor to assist you with the redemption.**

REDEMPTION FORM

IMPORTANT: Please read the declarations and terms & conditions before completing this form. Please complete all the information in each section before submission. Incomplete form will be rejected.

MAIN HOLDER				
Name as in NRIC/Passport:			NRIC/Passport No:	Account No :
Date of Birth: (dd/mm/yyyy)	Place of Birth (Country)	Nationality: <input type="checkbox"/> Singaporean <input type="checkbox"/> Other: _____		Citizenship (Please detail all countries):
Residential Address (PO Box not allowed):		Mailing Address (if different from residential address):		
Country: _____ Postal Code: _____		Country: _____ Postal Code: _____		
Telephone no: _____ Country Code: _____ Area code: _____		Email Address (Optional):		
Tax residency Please list below your country/ies of tax residency and applicable Tax ID/TIN (If applicable continue on a blank sheet and attach to this form). Tax Resident Country _____ Tax ID / TIN** _____ Tax Resident Country _____ Tax ID / TIN** _____ Tax Resident Country _____ Tax ID / TIN** _____ **A Tax ID / TIN is a Tax Identification Number which is issued by your country of residence for tax purposes.				
I am a Specified United States Person per the FATCA definition (per the guidance under the declarations section of this form) Yes <input type="checkbox"/> No <input type="checkbox"/>				
JOINT HOLDER DATA (If any ; CASH investment type only)				
Name as in NRIC/Passport:			NRIC/Passport No:	
Date of Birth: (dd/mm/yyyy)	Place of Birth (Country)	Nationality: <input type="checkbox"/> Singaporean <input type="checkbox"/> Other: _____		Citizenship (Please detail all countries):
Residential Address (PO Box not allowed):		Mailing Address (if different from residential address):		
Country: _____ Postal Code: _____		Country: _____ Postal Code: _____		
Telephone no: _____ Country Code: _____ Area code: _____		Email Address (Optional):		
Tax residency Please list below your country/ies of tax residency and applicable Tax ID/TIN (If applicable continue on a blank sheet and attach to this form). Tax Resident Country _____ Tax ID / TIN** _____ Tax Resident Country _____ Tax ID / TIN** _____ Tax Resident Country _____ Tax ID / TIN** _____ **A Tax ID / TIN is a Tax Identification Number which is issued by your country of residence for tax purposes.				
I am a Specified United States Person per the FATCA definition (per the guidance under the declarations section of this form) Yes <input type="checkbox"/> No <input type="checkbox"/>				

REDEMPTION (Please tick (✓) the appropriate box)

Name of Fund	Investment Type	Redemption Units
	<input type="checkbox"/> CASH <input type="checkbox"/> CPFOA <input type="checkbox"/> CPFSA <input type="checkbox"/> SRS	
	<input type="checkbox"/> CASH <input type="checkbox"/> CPFOA <input type="checkbox"/> CPFSA <input type="checkbox"/> SRS	

SETTLEMENT INSTRUCTIONS (Please tick (✓) the appropriate box and complete the account information)☐ **CPFIS ORDINARY ACCOUNT ("CPFOA")**

CPF Agent Bank: _____ CPF A/c no.: _____ CPF Investment A/c no.: _____

☐ **CPFIS SPECIAL ACCOUNT ("CPFSA")**

CPF A/c no.: _____

☐ **SUPPLEMENTARY RETIREMENT SCHEME ("SRS")**

SRS Operator: _____ SRS A/c no.: _____

☐ **CASH INVESTMENT****Payment will only be made in the name of the registered holder(s); third party payment is strictly prohibited.****Redemption proceeds will be settled in SGD.****Please tick (✓) your settlement preference:**

- ☐ By SGD Cheque payable to registered Holder(s) and send by ordinary mail to the mailing address as stated above.
- ☐ By Telegraphic Transfer. **Any expenses or cost incurred in currency conversion and remittance by telegraphic transfer will be borne by the holder(s).**

Please complete the following bank account detail:

Settlement currency: _____ (Please specify if you do not wish to receive in SGD)

Name of Beneficiary Bank: _____ Swift Code: _____

Beneficiary Account No.: _____

Address of Beneficiary Bank: _____

The following additional information is required for payment to bank located in certain countries. Please check with your bank and complete where relevant:

IBAN No.: _____ Sort Code: _____

ABA No.: _____ Fed Wire: _____

^Intermediary Bank Detail

Name of Intermediary Bank: _____ Swift Code: _____

Beneficiary Bank Account No. with Intermediary Bank : _____

^ Intermediary (or correspondent) bank details are required if the domestic bank currency differs from the settlement currency.**Important :****Please ensure bank details are correctly provided. Incorrect/insufficient bank details will result in payment delay and additional charges may be imposed by the bank for reprocessing. These charges may be deducted from your redemption proceeds.**

AUTHORISATION (For CPF/SRS Investment Type Only)

TO: CPF Board ("the Board")/CPF Agent Bank/SRS Operator (where applicable)

1. I unequivocally and irrevocably consent to and authorise the Board/CPF Agent Bank/SRS Operator to have access to and to request for any information regarding my account with the Manager for purposes relating to and in connection with this application.
2. Credit my CPF Special Account/CPF Ordinary Account/SRS Account with any income or any redemption proceeds from the above funds.
3. Disclose any particulars or information whatsoever relating to or in connection with my investment with First Sentier Investors (Singapore) to facilitate any transactions that cannot be settled due to data discrepancies, insufficient funds or any other reasons that the Board/CPF Agent Bank/SRS Operator deems fit.
4. I understand that the above transactions shall be made, subject to the provisions of the Central Provident Fund Act and the Central Provident Fund (Investment Schemes) Rules and Regulations as may be amended from time to time and also to all such terms and conditions as may be imposed by the Board from time to time.
5. I hereby agree to indemnify the Board/CPF Agent Bank/SRS Operator and shall keep them indemnified against all actions, proceedings, liabilities, claims, damages, expenses or legal costs whatsoever arising out of or in connection with the Board/CPF Agent Bank/SRS Operator accepting and acting upon this authorisation.

USE OF CUSTOMER INFORMATION

The Manager may from time to time collect personal data of the Holder ("Data") and further agrees to the use, disclosure and transferring of such Data by the Manager for any of the following purposes:

- to carry out all of its investment, administrative, valuation, processing and other functions and services, and to exercise its rights and carry out its obligations, as described in any of the FSI Materials;
- to carry out instructions relating to the Holder's Units or to respond to any enquiry, made or purportedly made by the Holder or on the Holder's behalf;
- to enforce any of its rights or fulfil any of its obligations under binding contracts or applicable laws and regulations, including where it believes it is under a legal obligation to disclose;
- for all other purposes described in any of the FSI Materials as amended, modified or supplemented from time to time;
- for all purposes permitted by applicable laws and regulations including to comply with any requirements, obligations or arrangements for collecting, using or disclosing data that applies to the Manager, its group of related companies or affiliates due to applicable laws, regulations or industry codes;
- you understand that all information provided by you and any other information relating to this application may be held on computer and will be treated in confidence. It will not be disclosed to any third parties, except where required by law or regulatory bodies or where your consent has been given. However, you understand that information may be passed to other financial organisations to protect the Manager, its group of related companies or affiliates and their clients against fraud. Enquiries about you may also be made with licensed credit reference agencies for verification of identity and fraud checking purposes and in such cases, the agencies will keep a record of the enquiry; and
- for all purposes directly related thereto.

Without prejudice to the generality of the foregoing, you agree that the Manager may disclose Data to its group of related companies or affiliates, agents and any other third party which provides services to the Manager, and permit such parties to use and disclose such Data for the direct or indirect provision of such services. Your agreement to the foregoing constitutes consent for the purposes of all applicable data protection laws.

You may withdraw your consent given for any or all purposes set out in this form in writing by using the prescribed form which you may obtain from the Manager. If you withdraw your consent to any or all purposes, the Manager may not be in a position to continue to provide our products or services to you. Your withdrawal may be considered a termination by you of any contractual relationship which you may have with us, and a breach of your contractual obligations or undertakings, and our legal rights and remedies in such event are expressly reserved. The Manager reserves the right to request for a full redemption of your holdings immediately upon such termination.

Notes

1. The Republic of Singapore regulations require the Manager and Trustee or its agents or third party administrators to collect and disclose certain information about each investor and certain related persons and their investments in the Prospectus and Trust Deed of the First Sentier Investors Global Growth Funds (the "Scheme") to Inland Revenue Authority of Singapore and/or other tax authorities overseas. These regulations (as amended or replaced from time to time) were enacted pursuant to an intergovernmental agreement signed by the Republic of Singapore with the United States to implement the US Foreign Account Tax Compliance Act ("FATCA") and a multilateral agreement the Republic of Singapore signed with various jurisdictions to implement a similar regime known as the OECD Common Reporting Standard ("CRS", and together with FATCA and similar regimes, the "AEOI regimes"). The Manager and Trustee or its agents or third party administrators may have further future obligations under the AEOI regimes. All prospective investors should consult with their own advisors regarding the possible implications and classifications under the AEOI regimes of an investment in the Scheme.
2. The Manager and Trustee or its agents or third party administrators reserve the right to request additional documents as are necessary to verify the identity of the applicant(s) for the propose of anti-money laundering, counter terrorist financing and any other laws or regulations.

DECLARATIONS

Please read the declarations below before you sign and date this form. By signing you make the declarations shown below and confirm that you have read this form.

I declare that:

- I have checked the information in this form and it is correct to the best of our knowledge and belief. I will notify the Manager of any changes to the information without delay;
- I am not a U.S. Person, by Rule 902 of Regulation S under the U.S. Securities Act 1933 or acting for the account or benefit of a U.S. Person;
- Unless otherwise specified I am not a "Specified United States person" under the tax laws of the U.S.* or a non-U.S. entity with one or more specified United States persons as "substantial United States owners" or "Controlling Persons".

* For this purpose, a "Specified United States person" will include, subject to certain exceptions, (A) an individual who is a citizen or resident of the U.S., (B) a partnership or corporation (including any entity treated as a partnership or corporation for U.S. tax purposes, such as a limited liability company) organised in or under the laws of the U.S. or any state of the U.S. thereof (including the District of Columbia), (C) any estate the income of which is subject to U.S. tax regardless of its source, and (D) any trust if (i) a court within the U.S. is able to exercise primary supervision over the administration of the trust and (ii) one or more United States persons have the authority to control all substantial decisions of the trust.

- I declare that I do not intend to sell or transfer any Units which we may purchase to any person who is a Specified United States Person.
- I am not a person resident or otherwise located in Canada.

I agree and consent:

- I agree and consent to the Manager processing and transferring information provided by me in accordance with the statement under the section, Use of Customer Information.
- I agree and consent to the Manager contacting me at the email address stated overleaf.
- I agree and consent to notify the Manager immediately on becoming a US Person or holding on behalf or for the benefit of a U.S. Person
- I agree and consent to notify the Manager immediately on becoming a Specified United States Person.
- I agree and consent to notify the Manager immediately on becoming a Person resident or otherwise located in Canada.
- I agree and consent not to transfer Shares to a U.S. Person or a person who will hold the Shares on behalf or for the benefit of a U.S. Person
- I agree and consent to compensate the Manager and the Scheme and their respective agents from time to time for any losses or expenses arising in connection with a breach of the above declarations and agreements and my actions or failure to provide any information or documents requested by any of them to comply with the AEOI regimes or other laws or regulations

I declare and agree:

- to provide on request in a timely manner any information or documentation in relation to ourselves and, if and to the extent required, our direct or indirect beneficial owner(s)/Controlling Persons (if any), as may be necessary or desirable for the Scheme (or its agents) to comply with any reporting or other obligations and/or prevent the withholding of tax under the AEOI regimes.
- and acknowledge that the Manager (in consultation with the Trustee) may, in accordance with the provisions of the Trust Deed:
 - a) compulsorily redeem our units in the Sub-Fund(s) if we acquire or are holding such units in breach of the requirements or in the circumstances detailed in the Prospectus and Trust Deed of the First Sentier Investors Global Growth Funds (the "Scheme") whether at the point of our investment or thereafter; and
 - b) I undertake to observe and be bound by any such decision by the Scheme and agree that the Scheme/Manager/Trustee shall not assume liability for any losses arising from a compulsory redemption of any of my/our shares in the Fund; and
 - c) compulsorily redeem such number of our units in the Sub-Fund(s) as may be necessary to discharge any tax liability which the Manager and/or the Trustee is required to account to any duly empowered fiscal authority of the Republic of Singapore or elsewhere for any income or other taxes, charges or assessments whatsoever on the value of our units in the Sub-Fund(s),and I undertake to observe and be bound by any such decision by the Manager and agree that the Manager, the Trustee and the Scheme shall not assume liability for any losses arising from a compulsory redemption of any of our units in the Scheme.
- and hereby authorise the Manager and Trustee and any other relevant person to disclose to any relevant tax authority, competent department or authority of the government or administration of the Republic of Singapore or other countries any information (including the tax status, identity or residency or other personal investment and payment information relating to me (and/or our direct or indirect beneficial owners/Controlling Persons (if any))), documents or self-certifications provided by me to the Manager or Trustee. To the extent permitted by law, I agree to waive any provision of any data protection, privacy, banking secrecy or other law or regulation of any jurisdiction and/or the terms of any confidentiality agreement, arrangement or understanding that would otherwise prevent the Scheme's compliance with the AEOI regimes or any other laws, including, but not limited to, our provision of any requested information and/or documentation. I shall have no claim against the Manager or Trustee (or their respective agents from time to time) for any losses, liabilities, costs or expenses suffered by me (and/or our direct or indirect beneficial owners or

Controlling Persons (if any)) as a result of the use or disclosure of such information or documentation or any actions or omissions taken by any of them in connection with the Scheme's compliance with the AEOI regimes. I confirm that all the information provided by me in this form and as otherwise provided by me to the Manager and Trustee shall remain valid and I also undertake to immediately notify the Manager and Trustee of any changes to such information for as long as I am still a holder in the Scheme.

- and acknowledge that if we provide information and documentation that is in any way misleading, or fail to provide the Manager and Trustee (and/or its agents) with the requested information and documentation necessary in either case to satisfy the Scheme's obligations under the AEOI regimes, the Scheme reserves the right: (i) to take any action and/or pursue all remedies at its disposal.
- and undertake to observe and be bound by the provisions of the Trust Deed (the "Trust Deed") (as amended from time to time) constituting the Sub-Fund(s).
- and confirm that the above application is not in contravention of the Prospectus and Trust Deed of the Scheme and that the signature appear below is empowered to bind the Scheme.

Signature of Main Holder

Date:

Signature of Joint Holder (if applicable)

Date:

IMPORTANT: TERMS & CONDITIONS

1. Redemption instruction received after 5.00pm Singapore time on any dealing day, if accepted by the Manager, will be transacted on the next dealing day. Redemption instruction may be faxed to (65) 63384043.
2. A confirmation note on the redemption will be sent to the Holder(s) within 14 days.
3. The Holder(s) must notify the Manager immediately of any change in the personal data provided in this redemption form (not applicable to full redemption).
4. Where the Manager receives the redemption form or purported redemption by facsimile, the Holder(s) undertakes to keep the Manager and its respective directors, officers, employees, agents and correspondents ("Personnel") indemnified against all losses incurred by the Manager or any of its Personnel arising out of anything done or omitted pursuant to any redemption given by facsimile transmission.
5. For joint account, the personal data of both Holders must be completed on the redemption form.
6. Redemption instruction will not be processed until all required information has been provided by the Holder(s) in this form.
7. Minimum redemption is 1,000 units. In the case of partial redemption, the number of units equivalent to minimum value of S\$1,000 must be maintained.
8. The redemption form must be signed by the Holder or both the Joint Holders for a Joint-All account or either one of the Joint Holders for a Joint-Alternate account. Where one of the Joint-All Holders is a minor, only the Main Holder's signature is required.
9. All units are redeemed pursuant to the provisions of the Trust Deed.
10. Notwithstanding that the Manager reserves the right to accept the redemption instruction via facsimile, a copy of the original redemption form and supporting documents, if any, have to be received by the Manager before payment can be processed.
11. Redemption proceeds shall be paid in favour of the registered Holder(s). Where one of the Joint Holders is a minor, redemption proceeds shall be paid in favour of the Main Holder. Third party payments are strictly prohibited.
12. For a deceased Holder, payment shall be paid in the name of the estate of the deceased Holder. Third party payment is strictly prohibited.
13. Cheque will be mailed to the registered address of the Holder(s). Where a cheque is to be sent to an address other than the registered address of the Holder(s) or payment is to be issued to the name of one Joint Holder only, such instruction must be authorised in writing by both Joint Holders.
14. Redemption form sent via email will not be accepted.
15. The redemption form must be accompanied by a notarised copy (for those residing outside Singapore), certified true copy (by lawyers) or original-sighted copy of the Holder(s)' NRIC/passport with specimen signature, if such copy has not been provided previously.
16. The Manager reserves the right to request such information as the Manager determines to be necessary to verify the identity of the Holder(s) and in the event of delay or failure by the Holder(s) to produce any information required for verification purposes, redemption payment may be deferred until all required information has been provided by the Holder(s).
17. The Manager may be required to withhold parts of certain payments to certain Holders as required by local laws, regulations or contractual obligations with other jurisdiction's tax authorities.

PLEASE RETURN THE FORM TO :

First Sentier Investors (Singapore)
79 Robinson Road #17-01
Singapore 068897
Attention : Unit Trust Administration
Fax no. : (65) 63384043

**For further information, please call our Investor Services Hotline (65) 65801390
9:00am to 6:00pm Monday to Friday**